S/N 09/714,040

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Carter

Examiner:

Misook Yu

Serial No.:

09/714,040

Group Art Unit:

1642

Filed:

11/15/2000

Docket No.:

11669.185USD3

Title:

EXPRESSION OF FUNCTIONAL ANTIBODY FRAGMENTS

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on March 11,

2004

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Please direct all correspondence in this application to Katherine Kowalchyk, P.O.

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Dated: March 10, 2004

Timothy R. Schwartz, Ph.D.

Timothy R. Schwartz, Ph.D. Section Patent Counsel Genentech, Inc. Authorized Corporate Signatory

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Genentech, Inc., a corporation organized and existing under the laws of the State of Delaware, having a place of business at 1 DNA Way, South San Francisco, CA 94080, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

An assignment from the inventor(s), of the parent of the patent application identified above. The assignment was recorded in the Patent and Trademark Office on February 29, 1996, at Reel 7831, Frame(s) 0807.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

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Date: MARCH 10, 2004

Name: Timothy R. Schwartz, Ph.D.

Title:

Timothy R. Schwartz, Ph.D. Section Patent Counsel Genentech, Inc. Authorized Corporate Signatory